



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Johnny JENG, et al.

Serial No.: Not Yet Assigned

Filed: Concurrently Herewith

For: TOOTHBRUSH WITH OPPOSITELY RECIPROCATING BRUSH HEADS

BOX: PATENT APPLICATION
Assistant Commissioner for Patents
Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT

Sir:

In compliance with the duty to disclose, Applicant submits herewith copies of references listed in the attached Form PTO-1449, which may be material to the examination of this application.

All references submitted are in the English language or a translation and/or discussion is provided of at least of pertinent portions, except that no translation or discussion need be submitted if the foreign language reference was cited in a search report issued during examination of a foreign counterpart patent application (in which case a copy of the search report is enclosed).

Consideration of this Information Disclosure Statement is respectfully requested, since the

information provided herein may be material to the patentability of the subject application as defined in 37 C.F.R. §1.56. The submission of references with this Information Disclosure Statement should not be construed by the Examiner that a comprehensive search through the prior art was conducted; it is requested that the Examiner make such a comprehensive search.

The applicant requests that the references cited on the accompanying Form PTO-1449 made of record because, as checked off below, the following applies:


☒ either (a) this submission precedes issuance of a first Office Action on the merits or (b) a Certification of Timely Filing Under 37 CFR 1.97(e) accompanies this submission and neither a final Office Action nor a Notice of Allowance has issued. No fee is due.

☐ neither a final Office Action nor a Notice of Allowance has issued and no Certification of Timely Filing Under 37 CFR 1.97(e) accompanies this submission. A late submission fee under 37 CFR 1.97(c) is due.

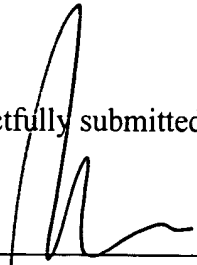
☐ either a final Office Action or a Notice of Allowance has issued. Petition is made for consideration of the accompanying references. A petition fee is due together with a Certification of Timely Filing Under 37 CFR 1.97(e).

If a fee is due as mentioned previously and has not been submitted in connection with an earlier filed Information Disclosure Statement, the fee is enclosed. Please charge any deficiency in fees to deposit account no. 03-2317.

If submission of the accompanying references is deemed untimely to be made of record for this application, however, it is requested that they be placed in the file and that the applicant be so informed.

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Date: January 3, 2002

Respectfully submitted,



Peter T. Cobrin, Esq.
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COBRIN & GITTES
750 Lexington Avenue
New York, New York 10022
(212) 486-4000

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Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE
 STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Complete if Known

Application Number	Not Yet Assigned
Filing Date	Concurrently Herewith
First Named Inventor	Johnny JENG
Group Art Unit	Not Yet Assigned
Examiner Name	Not Yet Assigned
Attorney Docket Number	ATI-3.2.034/4175

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U.S. PATENT DOCUMENTS

Examiner Initials ¹	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant: Passages or Relevant Figures Appear
		Number	Kind Code ² (if known)			
		723,983		Homer Brooke	March 31, 1908	
		US 6,189,693 B1		Blaustein et al.	February 20, 2001	
		Des. 425,414		Baker et al.	May 23, 2000	
		1,420,388		Edward F. Schworm	June 20, 1922	
		1,620,330		Samuel E. Douglass	March 8, 1927	
		2,259,797		Maurice M. Cohen	October 21, 1941	
		2,911,660		Seymour Klemas et al.	November 10, 1959	
		3,195,537		John V. Blasi	July 20, 1965	
		3,220,039		Charles A. Dayton et al.	November 30, 1965	
		3,775,800		Marco Antonio Veneziani	December 4, 1973	
		3,848,336		John W. Copeland	November 19, 1974	
		4,203,431		Abura et al.	May 20, 1980	

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Examiner Initials	Cite No.	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T
		Office ³	Number	Kind Code (if known)				
			0173150		Braun Aktiengesellschaft	August 9, 1985		
			2 587 183		TRENTINI Gisèle Denise	September 13, 1985		
			DE 3428860A1		Gleich Anmelder	February 13, 1986		
			DE 3524586 A1		Weinert Wolfgang, Dr.	January 15, 1987		
			DE 3744630 A1		Gleich Anmelder	July 13, 1989		
			DE 4125168 A1		Gleich Anmelder	April 23, 1992		
			DE 4201873 C1		Boland et al.	May 27, 1993		
			WO 91/07116		KRESSNER Gerhard, et al.	May 30, 1991		
			WO 92/19125		DE GEYTER Pierre	November 12, 1992		

Examiner Signature

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		Number	Kind Code ² (if known)			
		4,827,550		Graham et al.	May 9, 1989	
		4,829,218		Alfred Bauer	May 9, 1989	
		4,914,376		Werner Meyer	April 3, 1990	
		4,989,287		Benjamin Scherer	February 5, 1991	
		5,070,567		Neta Holland	December 10, 1991	
		5,120,225		Noah Amit	June 9, 1992	
		5,170,525		Michael Cafaro	December 15, 1992	
		5,186,627		Amit et al.	February 16, 1993	
		5,274,870		Harry Stollman	January 4, 1994	
		5,289,604		Gerhard Kressner	March 1, 1994	
		5,341,534		Serbinski et al.	August 30, 1994	
		5,378,153		Giuliani et al.	January 3, 1995	

FOREIGN PATENT DOCUMENTS

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		Number	Kind Code ² (if known)			
		5,467,495		Boland et al.	November 21, 1995	
		5,504,958		Karl Herzog	April 9, 1996	
		5,546,674		Lange et al.	August 20, 1996	
		5,577,285		Michael Drössler	November 26, 1996	
		5,590,434		Kiyoshi Imai	January 7, 1997	
		5,613,258		Hilfinger et al.	March 25, 1997	
		5,652,990		Driesen et al.	August 5, 1997	
		5,673,710		Schaefer et al.	October 7, 1997	
		5,709,233		Boland et al.	January 20, 1998	
		5,732,433		Göcking et al.	March 31, 1998	
		5,842,244		Hilfinger et al.	December 1, 1998	
		5,850,655		Göcking et al.	December 22, 1998	

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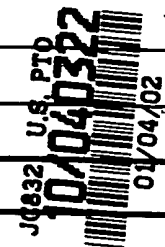
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Examiner Initials ¹	Cite No. ¹				Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		5,862,558		Hilfinger et al.	January 26, 1999
		5,867,856		Karl Herzog	February 9, 1999
		5,893,715		Boland et al.	April 13, 1999
		5,927,300		Boland et al.	July 27, 1999
		5,943,723		Hilfinger et al.	August 31, 1999
		5,974,613		Karl Herzog	November 2, 1999
		5,974,615		Schwarz-Hartmann et al.	November 2, 1999
		6,000,083		Blaustein et al.	December 14, 1999
		6,009,589		Driesen et al.	January 4, 2000
		6,021,538		Kressner et al.	February 8, 2000
		6,059,106		Baker et al.	May 9, 2000

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		Number	Kind Code ² (if known)			
		6,102,700		Haczek et al.	August 15, 2000	
		6,126,008		Jon T. Cox	October 3, 2000	
		6,141,819		Driesen et al.	November 7, 2000	

FOREIGN PATENT DOCUMENTS

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		Office ³	Number	Kind Code (if known)				

Examiner Signature

Date Considered

**FEE TRANSMITTAL
for FY 2002**

Patent fees are subject to annual revision.

Complete if Known

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Examiner Name	Not Yet Assigned
Group/Art Unit	Not Yet Assigned
Attorney Docket No.	ATI-3.2.034/4175

USPTO
10/04/02
01/04/02

TOTAL AMOUNT OF PAYMENT (\$ 740.00)

METHOD OF PAYMENT (check one)1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number: [03-2317]

Deposit Account Name: [COBRIN & GITTES]

☒ Charge any additional fee required under 37 CFR 1.16 and 1.17☐ Applicant claims small entity status. See 37 CFR 1.272. ☒ Payment Enclosed:☒ Check☐ Money Order☐ Other**FEE CALCULATION (continued)****3. ADDITIONAL FEES**

Large Entity		Small Entity		Fee Description	Fee Paid
Code	(\$)	Code	(\$)		
105	130	205	65	Surcharge - Late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	Request for Reexamination	
112	920*	112	920*	Req. publication of SIR prior to Examiner action	
113	1,840	113	1,840	Requesting publication of SIR after Examiner action	

FEE CALCULATION**1. BASIC FILING FEE**

Large Entity		Small Entity		Fee Description	Fee Paid
Code	(\$)	Code	(\$)		
101	740	201	370	Utility filing fee	\$ 740
106	330	206	165	Design filing fee	
107	510	207	255	Plant filing fee	
108	740	208	370	Reissue filing fee	
114	160	214	80	Provisional filing fee	
SUBTOTAL (1)					\$ 740

2. EXTRA CLAIM FEES

			Extra Claim	Fee below	Fee Paid
Total Claims	4	-20 =	0 x	0	\$ 0
Indep. Claims	3	-3 =	0 x	0	\$ 0
Multiple Dependent					
** or number previously paid, if greater; for reissues, see below					
Large Entity		Small Entity			
Code	(\$)	Code	(\$)	Fee Description	
103	18	203	9	Claims in excess of 20	
102	84	202	42	Independent claims in excess of 3	
104	280	204	140	Multiple dependent claim, if not paid	
109	84	209	42	**Reissue independent claims over original patent	
110	18	210	9	**Reissue claims in excess of 20 and over original patent	
SUBTOTAL (2) (\$0)					

**or number previously paid, if greater; For Reissues, see above

*Reduced by Basic filing fee Paid

SUBTOTAL (3)

\$0

SUBMITTED BY

Name (Print Type)

Peter T. Cobrin

Registration Number

24,117

Telephone

(212) 486-4000

Signature

Date

January 4, 2002

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